

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,076	07/30/2001	Du	360D0002

CONFIRMATION NO. 3228

## FORMALITIES LETTER



\*OC000000006450978\*

22862  
GLENN PATENT GROUP  
3475 EDISON WAY  
SUITE L  
MENLO PARK, CA 94025

Date Mailed: 08/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/02/2001 BABRAW1 00000100 071445 09919076

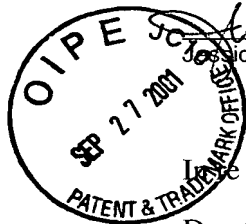
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65.00 CH

Express Mail mailing label no. EL556470953US

Date of Deposit: September 27, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.



Jessica Pallach

*N sector \$*  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

I am the application of )

Du et al. )

Serial No. 09/919,076 )

Filed: July 30, 2001 )

For: Smart Card Security Information Configuration )  
and Recovery System )

Examiner: Unassigned

Art Unit: Unassigned

September 27, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner of Patents  
and Trademarks  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted mailed August 21, 2001, enclosed herewith are the following:

- Original signed Declaration;
- Assignment and Recordation Cover Sheet;
- Copy of Missing Parts Notice; and
- Return Postcard

The Commissioner is authorized to charge the surcharge and recordation fees of \$105.00 as well as any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. 360D0002). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

*Michael A. Glenn*

Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862

Attorney Docket No. 360D0002